

06/24/03

17691 U. S. for PTG

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.

First Named Inventor or Application Identifier

L. L. Walker, et al.

Express Mail Label No.

HYDROXYALIPHATIC FUNCTIONAL EPOXY RESINS

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Pat nts
Mail St p Pat nt Applicati n
P.O. Box 1450, Al xandria, VA 22313-1450

1. ☒ **Fee Transmittal Form**
(Submit an original, and a duplicate for fee processing)

2. ☒ **Specification** [Total Pages 69]
(preferred arrangement set forth below)

 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

3. ☐ **Drawing(s)** (35 USC 113) [Total Sheets]

4. ☒ **Declaration and Power of Attorney** [Total Pages 1]

 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ **Incorporation By Reference**
The entire disclosure of the prior application identified in Box 18 is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ **Microfiche Computer Program (Appendix)**

7. ☐ **Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)**

 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ English Translation Document (*if applicable*)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(*Should be specifically itemized*)
13. ☐ Certified Copy of Priority Document(s)
(*if foreign priority is claimed*)
14. ☐ Associate Power of Attorney
15. ☐ Sealed envelope containing confidential information, which Applicants may request to be expunged from the application file.
16. ☒ Authorization for payment of fees and Petition for Extensions of Time.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Amend the specification by inserting before the first line, the sentence: "This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/991,026, filed November 16, 2001." If this application claims the benefit of a provisional application, check this box ☐ This application claims the benefit of U.S. Provisional application No. / , filed

19. CORRESPONDENCE ADDRESS

☒ *Customer Number or Bar Code Label*

00109

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

NAME _____

ADDRESS

CITY

STATE

ZIP CODE

Please direct any telephonic communication regarding this application to the undersigned Attorney/Agent for Applicants:

Joe R. Prieto

Reg. No.: 30,887

Phone No.: 989-636-9361

Fax No.: 989-638-9785

17691 U.S. PTO
06/24/03

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney's Case No. : 60103B

Application of : Louis L. Walker, Robert H. Hefner, Jr., Katherine S. Clement

For: HYDROXYALIPHATIC FUNCTIONAL EPOXY RESINS

No. of Drawing Sheets: 0

13123 U.S. PTO
10/602805
06/24/03

EXPRESS MAIL MAILING LABEL NO. EV 331476107 US
DATE OF DEPOSIT: June 24, 2003

Sir:

Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1512. An original and 2 copies of this sheet are enclosed.

A. Basic Filing Fee	\$740.00
Total Number of Claims	<u>24</u>
Less (Basic Fee)	<u>20</u>
B. Extra Claims	<u>4</u> x \$ 18.00 = <u>\$32.00</u>
Total Number Independent Claims	<u>5</u>
Less (Basic Fee)	<u>3</u>
C. Extra Independent Claims	<u>2</u> x \$ 84.00 = <u>\$168.00</u>
D. Multiple Dependent Claims Presented	+ \$280.00 = \$
TOTAL FILING FEE (A+B+C+D) =	<u>\$940.00</u>

If this estimate is incorrect, please charge or credit our account accordingly.

Respectfully submitted,


Joe R. Prieto

Registration No.: 30,887

Phone: 989-636-9361

THE DOW CHEMICAL COMPANY
Intellectual Property
P.O. Box 1967
Midland, MI 48641-1967

Date: June , 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Louis L. Walker, Robert H. Hefner, Jr., Katherine S. Clement

Attorney Docket No.: 60103B

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: HYDROXYALIPHATIC FUNCTIONAL EPOXY RESINS

EXPRESS MAIL MAILING LABEL NO. EV 331476107 US
DATE OF DEPOSIT: June 24, 2003

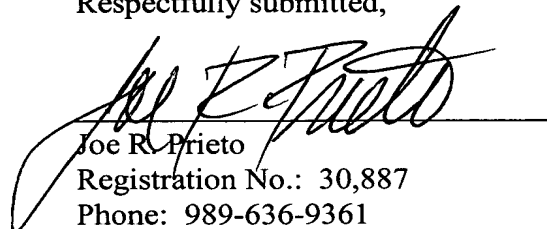
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1512.

Respectfully submitted,


Joe R. Prieto
Registration No.: 30,887
Phone: 989-636-9361

Date: June, 2003

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